

# Penalty Assessment Against Professional Solicitors

The following organizations have been assessed monetary penalties of \$5,000 or more for violation of the "Solicitation of Charitable Fund Act". Additional information about the basis of penalty assessments can be obtained at the Division of Charitable Solicitations. Information required to be filed with the Division is public record and may be viewed during business hours 8:00 a.m. to 4:30 p.m. Contact the division at (615)741-2555.

ID	Organization Name	City, State	Date Assessed	Penalty Reason	Amount of Assessment
221	TOM SHARP & ASSOCIATES	CHATTANOOGA, TN		Failure to Register	\$15,000
262	WATERS, PELTON, OSTROFF & ASSOCIATES, INC.	CHATTANOOGA, TN		Failure to Register	\$10,000